

## PATENT COOPERATION TREATY

PCT

NOTIFICATION OF RECEIPT OF  
RECORD COPY

(PCT Rule 24.2(a))

From the INTERNATIONAL BUREAU

To:

KOIKE,, Akira  
11th Floor Yamato Seimei Bldg.  
1-7 Uchisaiwai-cho 1-chome  
Chiyoda-ku Tokyo 100011  
Japan

Date of mailing (day/month/year) 15 March 2004 (15.03.2004)	IMPORTANT NOTIFICATION
Applicant's or agent's file reference SK04PCT00009	International application No. PCT/JP2004/000701

The applicant is hereby notified that the International Bureau has received the record copy of the international application as detailed below.

Name(s) of the applicant(s) and State(s) for which they are applicants:

SONY CORPORATION (for all designated States except US)  
NAKANISHI, Toshiaki et al (for US)

International filing date : 27 January 2004 (27.01.2004)  
Priority date(s) claimed : 31 January 2003 (31.01.2003)  
Date of receipt of the record copy  
by the International Bureau : 13 February 2004 (13.02.2004)  
List of designated Offices :

AP : BW, GH, GM, KE, LS, MW, MZ, SD, SL, SZ, TZ, UG, ZM, ZW  
EA : AM, AZ, BY, KG, KZ, MD, RU, TJ, TM  
EP : AT, BE, BG, CH, CY, CZ, DE, DK, EE, ES, FI, FR, GB, GR, HU, IE, IT, LU, MC, NL, PT, RO, SE, SI, SK, TR  
OA : BF, BJ, CF, CG, CI, CM, GA, GN, GQ, GW, ML, MR, NE, SN, TD, TG  
National : AE, AG, AL, AM, AT, AU, AZ, BA, BB, BG, BR, BW, BY, BZ, CA, CH, CN, CO, CR, CU, CZ, DE, DK, DM,  
DZ, EC, EE, EG, ES, FI, GB, GD, GE, GH, GM, HR, HU, ID, IL, IN, IS, JP, KE, KG, KP, KR, KZ, LC, LK, LR, LS,  
LT, LU, LV, MA, MD, MG, MK, MN, MW, MX, MZ, NA, NI, NO, NZ, OM, PG, PH, PL, PT, RO, RU, SC, SD, SE, SG, SK,  
SL, SY, TJ, TM, TN, TR, TT, TZ, UA, UG, US, UZ, VC, VN, YU, ZA, ZM, ZW

## ATTENTION

The applicant should carefully check the data appearing in this Notification. In case of any discrepancy between these data and the indications in the international application, the applicant should immediately inform the International Bureau.

In addition, the applicant's attention is drawn to the information contained in the Annex, relating to:

- ☒ time limits for entry into the national phase - see updated important information (as of April 2002)  
☐ requirements regarding priority documents (if applicable)

A copy of this Notification is being sent to the receiving Office and to the International Searching Authority.

The International Bureau of WIPO 34, chemin des Colombettes 1211 Geneva 20, Switzerland	Authorized officer:  Eliott PERETTI (Fax 338 9090)
Facsimile No. (41-22) 338.90.90	Telephone No. (41-22) 338 9906

## INFORMATION ON TIME LIMITS FOR ENTERING THE NATIONAL PHASE

The applicant is reminded that the "national phase" must be entered before each of the designated Offices indicated on the cover sheet of this Notification by paying national fees and furnishing translations, as prescribed by Articles 22 and 39 and the applicable national laws. In addition, the applicant may also have to comply with other special requirements applicable in certain Offices. It is the applicant's responsibility to ensure the necessary steps to enter the national phase are taken in a timely fashion. Most Offices do not issue reminders to applicants in connection with the entry into the national phase.

The applicable time limit for entering the national phase will, subject to what is said in the following paragraph, be **30 MONTHS** from the priority date, not only in respect of any elected Office if a demand for international preliminary examination is filed before the expiration of 19 months from the priority date (see Article 39(1)), but also in respect of any designated Office, in the absence of filing of such demand, where Article 22(1) as modified with effect from 1 April 2002 applies in respect of that designated Office. For further details, see PCT Gazette No. 44/2001 of 1 November 2001, pages 19926, 19932 and 19934, as well as the PCT Newsletter, October and November 2001 and February 2002 issues.

In practice, time limits other than the 30-month time limit will continue to apply, for various periods of time, in respect of certain designated or elected Offices. For regular updates on the applicable time limits (20, 21, 30 or 31 months, or other time limit), Office by Office, refer to the PCT Gazette ("Section IV" part published on a weekly basis), to the PCT Newsletter (on a monthly basis) and to the relevant National Chapters in Volume II of the PCT Applicant's Guide (the paper version of which is updated usually twice a year and the Internet version of which is updated usually on a weekly basis). Finally, a cumulative table of all applicable time limits for entering the national phase is available from WIPO's Internet site, via links from various pages the site including those of the Gazette, Newsletter and Guide, at <http://www.wipo.int/pct/en/index.html>.

Information about the requirements for filing a demand for international preliminary examination is set out in the PCT Applicant's Guide, Volume I/A, Chapter IX. Note that only an applicant who is a national or resident of a PCT Contracting State which is bound by Chapter II has the right to file a demand for international preliminary examination (at present, all PCT Contracting States are bound by Chapter II).

## REQUIREMENTS REGARDING PRIORITY DOCUMENTS

For applicants who have not yet complied with the requirements regarding priority documents, the following is recalled.

Where the priority of an earlier national, regional or international application is claimed, the applicant must submit a copy of the said earlier application, certified by the authority with which it was filed ("the priority document") to the receiving Office (which will transmit it to the International Bureau) or directly to the International Bureau, before the expiration of 16 months from the priority date, provided that any such priority document may still be submitted to the International Bureau before that date of international publication of the international application, in which case that document will be considered to have been received by the International Bureau on the last day of the 16-month time limit (Rule 17.1(a)).

Where the priority document is issued by the receiving Office, the applicant may, instead of submitting the priority document, request the receiving Office to prepare and transmit the priority document to the International Bureau. Such request must be made before the expiration of the 16-month time limit and may be subjected by the receiving Office to the payment of a fee (Rule 17.1(b)).

If the priority document concerned is not submitted to the International Bureau or if the request to the receiving Office to prepare and transmit the priority document has not been made (and the corresponding fee, if any, paid) within the applicable time limit indicated under the preceding paragraphs, any designated State may disregard the priority claim, provided that no designated Office may disregard the priority claim concerned before giving the applicant an opportunity, upon entry into the national phase, to furnish the priority document within the time limit which is reasonable under the circumstances (Rule 17.1(c)).

Where several priorities are claimed, the priority date to be considered for the purposes of computing the 16-month time limit (and all other PCT time limits) is the filing date of the earliest application whose priority is claimed (Article 2(xi)(b)).

## PATENT COOPERATION TREATY

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NOTIFICATION CONCERNING  
SUBMISSION OR TRANSMITTAL  
OF PRIORITY DOCUMENT

(PCT Administrative Instructions, Section 411)

From the INTERNATIONAL BUREAU

To:

KOIKE,, Akira  
11th Floor Yamato Seimei Bldg.  
1-7 Uchisaiwai-cho 1-chome  
Chiyoda-ku Tokyo 1000011  
Japan

Date of mailing (day/month/year) 15 March 2004 (15.03.2004)	<b>IMPORTANT NOTIFICATION</b>
Applicant's or agent's file reference SK04PCT00009	
International application No. PCT/JP2004/000701	International filing date (day/month/year) 27 January 2004 (27.01.2004)
International publication date (day/month/year) Not yet published	Priority date (day/month/year) 31 January 2003 (31.01.2003)
Applicant SONY CORPORATION et al	

- By means of this Form, which replaces any previously issued notification concerning submission or transmittal of priority documents, the applicant is hereby notified of the date of receipt by the International Bureau of the priority document(s) relating to all earlier application(s) whose priority is claimed. Unless otherwise indicated by the letters "NR", in the right-hand column or by an asterisk appearing next to a date of receipt, the priority document concerned was submitted or transmitted to the International Bureau in compliance with Rule 17.1(a) or (b).
- (If applicable) The letters "NR" appearing in the right-hand column denote a priority document which, on the date of mailing of this Form, had not yet been received by the International Bureau under Rule 17.1(a) or (b). Where, under Rule 17.1(a), the priority document must be submitted by the applicant to the receiving Office or the International Bureau, but the applicant fails to submit the priority document within the applicable time limit under that Rule, the attention of the applicant is directed to Rule 17.1(c) which provides that no designated Office may disregard the priority claim concerned before giving the applicant an opportunity, upon entry into the national phase, to furnish the priority document within a time limit which is reasonable under the circumstances.
- (If applicable) An asterisk(\*) appearing next to a date of receipt, in the right-hand column, denotes a priority document submitted or transmitted to the International Bureau but not in compliance with Rule 17.1(a) or (b) (the priority document was received after the time limit prescribed in Rule 17.1(a) or the request to prepare and transmit the priority document was submitted to the receiving Office after the applicable time limit under Rule 17.1(b)). Even though the priority document was not furnished in compliance with Rule 17.1(a) or (b), the International Bureau will nevertheless transmit a copy of the document to the designated Offices, for their consideration. In case such a copy is not accepted by the designated Office as priority document, Rule 17.1(c) provides that no designated Office may disregard the priority claim concerned before giving the applicant an opportunity, upon entry into the national phase, to furnish the priority document within a time limit which is reasonable under the circumstances.

<u>Priority date</u>	<u>Priority application No.</u>	<u>Country or regional Office or PCT receiving Office</u>	<u>Date of receipt of priority document</u>
31 Janu 2003 (31.01.2003)	2003-025234	JP	13 Febr 2004 (13.02.2004)

The International Bureau of WIPO 34, chemin des Colombettes 1211 Geneva 20, Switzerland	Authorized officer  Eliott PERETTI (Fax 338 9090)
Facsimile No. (41-22) 338.90.90	Telephone No. (41-22) 338 9906

# PATENT COOPERATION TREATY

## PCT

### NOTIFICATION OF WITHDRAWAL OF INTERNATIONAL APPLICATION OR DESIGNATIONS

(PCT Rule 90bis.1 and 90bis.2 and  
Administrative Instructions, Section 415(a))

From the INTERNATIONAL BUREAU

To:

KOIKE,, Akira  
11th Floor Yamato Seimei Bldg.  
1-7 Uchisaiwai-cho 1-chome  
Chiyoda-ku Tokyo 1000011  
JAPON

Date of mailing (day/month/year) 04 June 2004 (04.06.2004)		
Applicant's or agent's file reference SK04PCT00009		<b>IMPORTANT NOTIFICATION</b>
International application No. PCT/JP2004/000701	International filing date (day/month/year) 27 January 2004 (27.01.2004)	Priority date (day/month/year) 31 January 2003 (31.01.2003)
Applicant SONY CORPORATION		

1. The applicant is hereby notified that, except as to any designated State in which national processing or examination has already started upon the express request of the applicant:

- ☐ the international application
- ☒ the designations of the following States:
- ☐ for an ARIPO patent (specify "all States" or, if the withdrawal concerns only some States, specify those States only by indicating the two-letter country codes):
  - ☐ for a Eurasian patent
  - ☐ for a European patent (specify "all States" or, if the withdrawal concerns only some States, specify those States only by indicating the two-letter country codes):
  - ☐ for an OAPI patent
  - ☒ for a national patent (specify the States by indicating the two-letter country codes):

JP

has (have) been withdrawn on the date of receipt of the notice effecting withdrawal as indicated below:

25 February 2004 (25.02.2004).

2. The notice effecting withdrawal reached the International Bureau

- ☒ before the completion of the technical preparations for publication and, consequently, there will be no international publication:
- ☐ of the international application.
  - ☒ of the designations specified above.
- ☐ after the completion of the technical preparations for publication and, consequently, the withdrawal could not be taken into account for the international publication.

3. The receiving Office and, if they are affected by the withdrawal, the designated (or elected) Offices, the International Searching Authority and the International Preliminary Examining Authority, have been informed accordingly.

The International Bureau of WIPO 34, chemin des Colombettes 1211 Geneva 20, Switzerland	Authorized officer Patricia Blanchet (Fax 338 9090)
Facsimile No. (41-22) 338.90.90	Telephone No. (41-22) 338.96.55

## PATENT COOPERATION TREATY

From the INTERNATIONAL BUREAU

## PCT

FIRST NOTICE INFORMING THE APPLICANT OF  
THE COMMUNICATION OF THE INTERNATIONAL  
APPLICATION (TO DESIGNATED OFFICES WHICH  
DO NOT APPLY THE 30 MONTH TIME LIMIT  
UNDER ARTICLE 22(1))

(PCT Rule 47.1(c))

To:

KOIKE, Akira  
11th Floor Yamato Seimei Bldg.  
1-7 Uchisaiwai-cho 1-chome  
Chiyoda-ku Tokyo 1000011  
JAPON

Date of mailing (day/month/year)

02 September 2004 (02.09.2004)

Applicant's or agent's file reference

SK04PCT00009

## IMPORTANT NOTICE

International application No.

PCT/JP2004/000701

International filing date (day/month/year)

27 January 2004 (27.01.2004)

Priority date (day/month/year)

31 January 2003 (31.01.2003)

Applicant

SONY CORPORATION et al

1. **ATTENTION:** For any designated Office(s), for which the time limit under Article 22(1), as in force from 1 April 2002 (30 months from the priority date), **does apply**, please see Form PCT/IB/308(Second and Supplementary Notice) (to be issued promptly after the expiration of 28 months from the priority date).
2. Notice is hereby given that the following designated Office(s), for which the time limit under Article 22(1), as in force from 1 April 2002, **does not apply**, has/have requested that the communication of the international application, as provided for in Article 20, be effected under Rule 93bis.1. The International Bureau has effected that communication on the date indicated below:  
12 August 2004 (12.08.2004)

CH

In accordance with Rule 47.1(c-bis)(i), those Offices will accept the present notice as conclusive evidence that the communication of the international application has duly taken place on the date of mailing indicated above and no copy of the international application is required to be furnished by the applicant to the designated Office(s).

3. The following designated Offices, for which the time limit under Article 22(1), as in force from 1 April 2002, **does not apply**, have not requested, as at the time of mailing of the present notice, that the communication of the international application be effected under Rule 93bis.1:

FI, LU, SE, TZ, UG, ZM

In accordance with Rule 47.1(c-bis)(ii), those Offices accept the present notice as conclusive evidence that the Contracting State for which that Office acts as a designated Office does not require the furnishing, under Article 22, by the applicant of a copy of the international application.

**4. TIME LIMITS for entry into the national phase**

For the designated Office(s) listed above, and unless a demand for international preliminary examination has been filed before the expiration of 19 months from the priority date (see Article 39(1)), the applicable time limit for entering the national phase will, subject to what is said in the following paragraph, be 20 MONTHS from the priority date.

In practice, time limits other than the 20-month time limit will continue to apply, for various periods of time, in respect of certain of the designated Offices listed above. For regular updates on the applicable time limits (20 or 21 months, or other time limit), Office by Office, refer to the *PCT Gazette*, the *PCT Newsletter* and the *PCT Applicant's Guide*, Volume II, National Chapters, all available from WIPO's Internet site, at <http://www.wipo.int/pct/en/index.html>.

It is the applicant's sole responsibility to monitor all these time limits.

The International Bureau of WIPO  
34, chemin des Colombettes  
1211 Geneva 20, Switzerland

Authorized officer

Yoshiko Kuwahara

Facsimile No.+41 22 740 14 35

Facsimile No.+41 22 338 90 90

# PATENT COOPERATION TREATY

WO 2004/068408  
PCT/JP2004/00070

From the INTERNATIONAL BUREAU

## PCT

SECOND AND SUPPLEMENTARY NOTICE  
INFORMING THE APPLICANT OF THE  
COMMUNICATION OF THE INTERNATIONAL  
APPLICATION (TO DESIGNATED OFFICES  
WHICH APPLY THE 30 MONTH TIME  
LIMIT UNDER ARTICLE 22(1))

(PCT Rule 47.1(c))

To:

KOIKE,, Akira  
11th Floor Yamato Seimei Bldg.  
1-7 Uchisaiwai-cho 1-chome  
Chiyoda-ku Tokyo 1000011  
JAPON

Date of mailing (day/month/year)  
02 June 2005 (02.06.2005)

Applicant's or agent's file reference  
SK04PCT00009

### IMPORTANT NOTICE

International application No.  
PCT/JP2004/000701

International filing date (day/month/year)  
27 January 2004 (27.01.2004)

Priority date (day/month/year)  
31 January 2003 (31.01.2003)

Applicant

SONY CORPORATION et al

1. **ATTENTION:** For any designated Office(s), for which the time limit under Article 22(1), as in force from 1 April 2002 (30 months from the priority date), **does not apply**, please see Form PCT/IB/308(First Notice) issued previously.

2. Notice is hereby given that the following designated Office(s), for which the time limit under Article 22(1), as in force from 1 April 2002, **does apply**, has/have requested that the communication of the international application, as provided for in Article 20, be effected under Rule 93bis.1. The International Bureau has effected that communication on the date indicated below:  
12 August 2004 (12.08.2004)

AU, AZ, BY, CN, CO, DZ, EP, HU, KG, KP, KR, MD, MK, MZ, NA, RU, SY, TM, US

In accordance with Rule 47.1(c-bis)(i), those Offices will accept the present notice as conclusive evidence that the communication of the international application has duly taken place on the date of mailing indicated above and no copy of the international application is required to be furnished by the applicant to the designated Office(s).

3. The following designated Offices, for which the time limit under Article 22(1), as in force from 1 April 2002, **does apply**, have not requested, as at the time of mailing of the present notice, that the communication of the international application be effected under Rule 93bis.1 :

AE, AG, AL, AM, AP, AT, BA, BB, BG, BR, BW, BZ, CA, CR, CU, CZ, DE, DK, DM, EA, EC, EE, EG, ES, FI, GB, GD, GE, GH, GM, HR, ID, IL, IN, IS, KE, KZ, LC, LK, LR, LS, LT, LV, MA, MG, MN, MW, MX, NI, NO, NZ, OA, OM, PG, PH, PL, PT, RO, SC, SD, SG, SK, SL, TJ, TN, TR, TT, UA, UZ, VC, VN, YU, ZA, ZW

In accordance with Rule 47.1(c-bis)(ii), those Offices accept the present notice as conclusive evidence that the Contracting State for which that Office acts as a designated Office does not require the furnishing, under Article 22, by the applicant of a copy of the international application.

#### 4. TIME LIMITS for entry into the national phase

For the designated or elected Office(s) listed above, the applicable time limit for entering the national phase will, subject to what is said in the following paragraph, be 30 MONTHS from the priority date.

In practice, time limits other than the 30-month time limit will continue to apply, for various periods of time, in respect of certain of the designated or elected Office(s) listed above. For regular updates on the applicable time limits (30 or 31 months, or other time limit), Office by Office, refer to the *PCT Gazette*, the *PCT Newsletter* and the *PCT Applicant's Guide*, Volume II, National Chapters, all available from WIPO's Internet site, at <http://www.wipo.int/pct/en/index.html>.

It is the applicant's sole responsibility to monitor all these time limits.

The International Bureau of WIPO  
34, chemin des Colombettes  
1211 Geneva 20, Switzerland

Authorized officer

Yoshiko Kuwahara

Facsimile No.+41 22 740 14 35

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PATENT COOPERATION TREATY

From the INTERNATIONAL BUREAU

**PCT**

NOTIFICATION CONCERNING  
TRANSMITTAL OF COPY OF INTERNATIONAL  
APPLICATION AS PUBLISHED OR REPUBLISHED

To:

KOIKE,, Akira  
11th Floor Yamato Seimei Bldg.  
1-7 Uchisaiwai-cho 1-chome  
Chiyoda-ku Tokyo 1000011  
JAPON

Date of mailing ( <i>day/month/year</i> ) 12 August 2004 (12.08.2004)		
Applicant's or agent's file reference SK04PCT00009		IMPORTANT NOTICE
International application No. PCT/JP2004/000701	International filing date ( <i>day/month/year</i> ) 27 January 2004 (27.01.2004)	
Priority date ( <i>day/month/year</i> ) 31 January 2003 (31.01.2003)		
Applicant SONY CORPORATION et al		

The International Bureau transmits herewith the following documents:

- ☒ copy of the international application as published by the International Bureau on 12 August 2004 (12.08.2004) under No. WO 2004/068408
- ☐ copy of international application as republished by the International Bureau on under No. WO  
For an explanation as to the reason for this republication of the international application, reference is made to INID codes (15), (48) or (88) (*as the case may be*) on the front page of the attached document.

The International Bureau of WIPO 34, chemin des Colombettes 1211 Geneva 20, Switzerland	Authorized officer Yoshiko Kuwahara
Facsimile No.+41 22 740 14 35	Facsimile No.+41 22 338 90 90